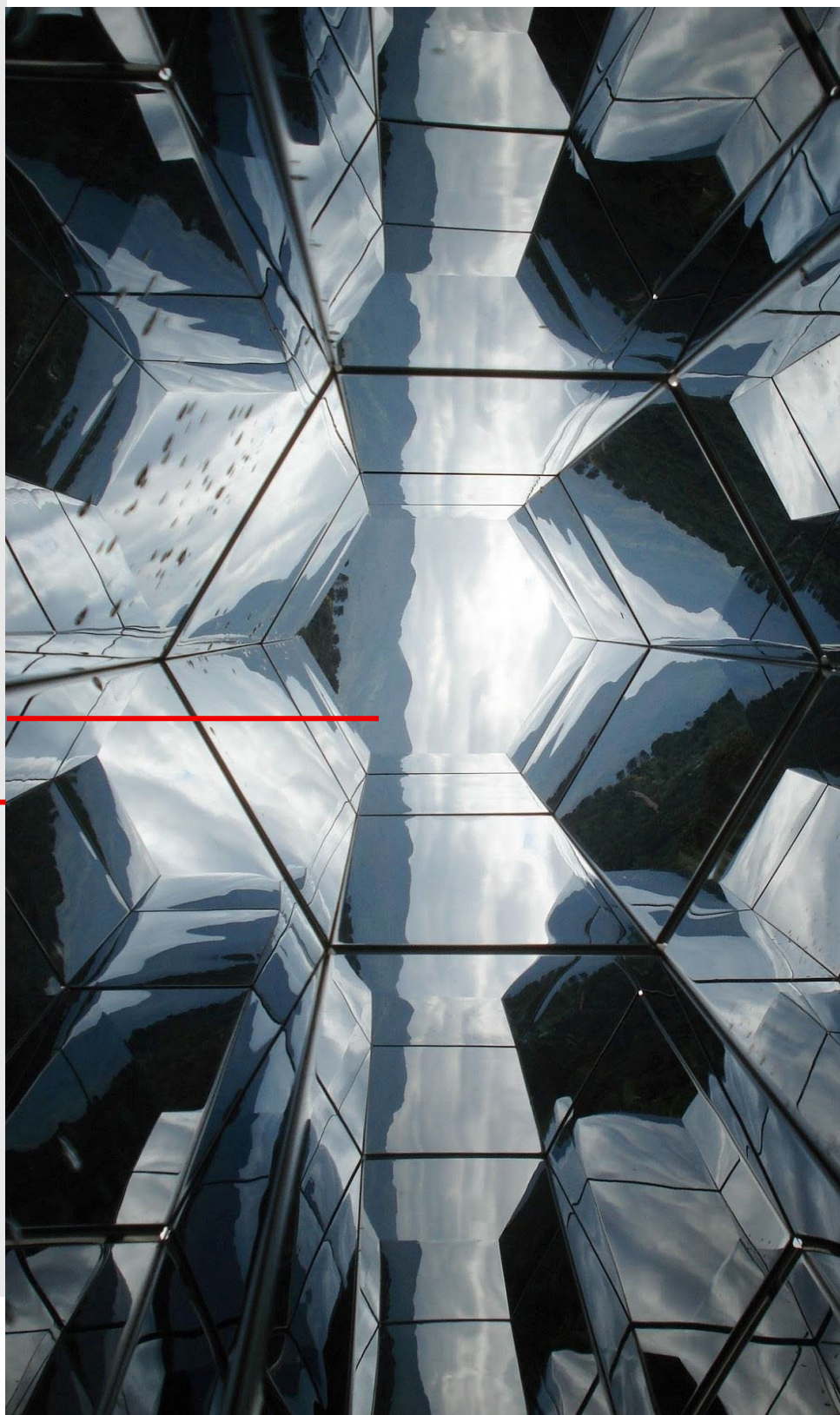


New
Designation
Opening
Application for
existing
Institutional
Investors
(including
distributors)



Application to open a new designation

Existing Institutional Investors (including distributors) only

What is this application used for?

This application must only be used by Institutional Investors with an existing account (You, Your) with Santander Asset Management UK Limited (SAM UK, We, Us or Our), who have previously completed an 'Application to open an account Institutional Investors (including distributors)' (the Original Application).

This is an editable document, so please input the requested information if you are able to do so. If you cannot, please complete the application in BLOCK CAPITALS.

Please email your completed form to:
investorqueries@fnztaservices.com or

By post to: Santander Asset Management UK Limited PO
Box 12892 Dunmow CM6 9DL

This application cannot be used to make investments, to convert or switch investments, or to redeem investments. It is for new designation account opening purposes only. Please contact investorqueries@fnztaservices.com if you wish to make an investment, convert or switch investments, or redeem investments.

Any applications received where ALL sections have not been completed, or the information provided is not clear, may be refused and returned to you.

You will not be able to place any subscription orders until your new designation application has been accepted and your account is confirmed as being opened.

Please note that We will only accept electronic Sterling (GBP) payments.

What if you want to cancel your application?

If you would like to cancel your application with Us, please advise Us by

- **Post to:** Santander Asset Management UK Limited PO Box 12892 Dunmow CM6 9DL; or
- **Email to:**
investorqueries@fnztaservices.com

When will you be able to make an investment?

Section 1 | Registration details

Please provide full legal details about your entity, including how you wish to designate your Investment(s).

Full name of Unitholder/Shareholder (Note: The full legal name is required for Unit/Shareholder Register purposes and must match what is recorded in Companies House (or equivalent))

Account designation

Legal entity type

- | | | |
|---|---|--------------------------------|
| <input type="checkbox"/> Listed company | <input type="checkbox"/> State-owned organisation | <input type="checkbox"/> Trust |
| <input type="checkbox"/> Subsidiary of a listed company | <input type="checkbox"/> Sovereign Wealth Fund | |
| <input type="checkbox"/> Private company | <input type="checkbox"/> Pension scheme | |
| <input type="checkbox"/> Partnership company | <input type="checkbox"/> Charity | |

Nature of business type (Note: if your business registers client assets in nominee form, select the business type and nominee option. For example, an IFA business that operates via nominee should select 'IFA – Nominee' and not 'IFA')

- | | | |
|--|--|---|
| <input type="checkbox"/> IFA | <input type="checkbox"/> Asset Manager | <input type="checkbox"/> Retail Bank – Nominee |
| <input type="checkbox"/> Insurance Firm | <input type="checkbox"/> Family Office | <input type="checkbox"/> Investment Bank – Nominee |
| <input type="checkbox"/> Intermediary | <input type="checkbox"/> Private Bank | <input type="checkbox"/> Investment Adviser – Nominee |
| <input type="checkbox"/> Investment Manager | <input type="checkbox"/> Custodian Services | <input type="checkbox"/> Wealth Manager – Nominee |
| <input type="checkbox"/> Investment Fund | <input type="checkbox"/> IFA – Nominee | <input type="checkbox"/> Asset Manager – Nominee |
| <input type="checkbox"/> Investment Platform | <input type="checkbox"/> Insurance Firm – Nominee | <input type="checkbox"/> Family Office – Nominee |
| <input type="checkbox"/> Retail Bank | <input type="checkbox"/> Intermediary – Nominee | <input type="checkbox"/> Private Bank – Nominee |
| <input type="checkbox"/> Investment Bank | <input type="checkbox"/> Investment Manager – Nominee | <input type="checkbox"/> Custodian Services – Nominee |
| <input type="checkbox"/> Investment Adviser | <input type="checkbox"/> Investment Fund – Nominee | |
| <input type="checkbox"/> Wealth Manager | <input type="checkbox"/> Investment Platform – Nominee | |

Entity website

Country of incorporation

Legal Entity Registration Number

Legal Entity Date of Registration

Regulated entity?

☐ Yes

☐ No

Description of your service / product offering to end customers:

If You are not regulated, but act as Nominee for and on behalf of a Regulated Entity, please provide that Regulated Entity's details for completeness.

Name of Regulatory Body(s)**Jurisdiction of Regulated Body(s)****Firm Reference Number(s) (if applicable)****Date of Regulatory Registration****Registered Address Details****Primary contact****Address** *(please note that PO box addresses will not be accepted)***Postcode****Telephone number****Fax number****Email address****Registered company number****Date of incorporation****Please specify the email address where you would like to receive the following:***Contract notes**Tax vouchers**Monthly valuations / Statements***Dealing Details:**

Calastone Reference (if applicable)

EMX Reference (if applicable)

Expected frequency of investment

- ☐ One transaction
 ☐ Weekly
 ☐ Quarterly
 ☐ Annually
☐ Daily
 ☐ Monthly
 ☐ Semi-annually
 ☐ Ad hoc

Section 4 | Bank Details

We require information on the nature and intended usage of SAM UK's products, including the expected source of funds. Please note that any future settlement or distribution payments from Us (or Our transfer agent on Our behalf) will typically only be paid to an account from which subscriptions were received by Us and it has been verified as an account belonging to the registered Unit/Shareholder detailed under Section 1. If You require distributions to be paid to a different account, this must be specified below (including justification for the separate account(s) being used).

Source of Funds

I confirm the source of funds for investment into the Fund(s) satisfy all of the below:

- ☐ Is from a known bank or building society account in the name of the Unit/Shareholder
☐ Is from an account with a UK, EEA or EU regulated credit institution
☐ Is not being structured to avoid standard identification requirements

Settlement Bank Details *(Please note that all redemption proceeds will be paid to this account)*

Apply Coverall to this account. Standard Settlement Instructions attached (automated payment upon settlement of redemption trades). Yes ☐ No ☐ If No is selected please complete the below.

Bank name

Branch address

Postcode

Country

Account name

Account number

SWIFT / Sort code

Reference / Roll Number

Account currency

In the case of income, payment should be either:

- ☐ Paid to the Unit/Shareholder bank account as detailed in the **attached Standard Settlement Instructions**
- ☐ Reinvested

Section 5 | Contact Details

Please provide email contact addresses for each of the below departments:

AML/KYC –

CORPORATE ACTIONS –

DEALING –

SETTLEMENTS –

TRANSFERS –

GENERAL ENQUIRIES –

Section 6 | Data Protection

You can view Our Privacy Statement on Our website here: www.santanderassetmanagement.co.uk/privacy-statement. You must show it to all persons whose data You share with Us.

Section 7 | Agreement and Acceptance

By signing this application You:

1. acknowledge and agree that:
 - a. the agreement between You and Us in relation to opening an account and purchasing and holding any Investment in any Fund is based on this application, the Original Application and the Prospectus, the constituting document and the KIID for the relevant Fund(s) and You confirm that each and every Investment shall be made in accordance with the terms and conditions of this agreement;

- b. this application is made on the terms of the Original Application, the Prospectus and subject to the provisions of the Prospectus and constituting document of the Fund(s); and
 - c. the KIIDs can be obtained from Our website <https://www.santanderassetmanagement.co.uk>. You consent to being provided with the KIIDs in this form via the website (hard copies of the KIIDs can be obtained free of charge from Us by emailing investorqueries@fnztaservices.com), and You will obtain and read the relevant KIIDs prior to subscribing for Units/Shares once Your application has been accepted.;
- 2. confirm that You: (i) are not a US person as defined in the Prospectus; (ii) have not been solicited to purchase and have not and will not acquire Units/Shares while present in the US; (iii) are not applying as a nominee of a US person and are not acting on behalf of nor does not intend to transfer any Units/Shares to any US person(s); (iv) will not transfer any Units/Shares or any interest therein to a US person and will not transfer any Units/Shares within the US; and (v) will promptly notify the Fund, or SAM UK on behalf of the Fund, if You should at any time become a US person or if Your tax residency otherwise changes;
- 3. confirm that You have the authority to open a new designated account and to make any investment in a Fund(s);
- 4. confirm You are fully informed as to: (i) the legal requirements within Your country for the purchase of the Units/Shares and are permitted to purchase the Units/Shares under the laws and regulations of Your home country in the manner in which the Units/Shares have been offered and sold to You; (ii) any foreign exchange restrictions applicable to You; and (iii) any relevant tax considerations relating to You arising out of Your purchase and legal ownership of Units/Shares;
- 5. agree to indemnify and hold harmless SAM UK, the relevant Fund(s), with SAM UK able to claim on behalf of a Fund(s) where necessary, the administrator, registrar and the investment manager against any loss, liability and, cost which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by You to Us including acting on any Unit/Shareholder instruction. SAM UK, the relevant Fund(s) and any administrator We may appoint will not be responsible or liable for the authenticity of instructions received from You or any authorised signatory and may rely upon any instruction from any such person representing himself to be a duly authorised signatory reasonably believed to be genuine;
- 6. acknowledge that under current UK Money Laundering Regulations, We are required to conduct customer due diligence on Unit/Shareholders in the Funds. We are also required to conduct ongoing due diligence and if We are unable to complete a periodic review of the customer due diligence performed We may not be able to accept any additional investments or pay the proceeds of any distributions or redemptions to You. You confirm to Us that You will cooperate with Us to ensure that We comply with the UK Money Laundering Regulations and UK Bribery Act, as amended from time to time. The Unit/Shareholder provides assurance to SAM UK that it has a clearly defined and documented financial crime control framework and procedures are appropriately designed to prevent the Unit/Shareholder from being used for the purposes of financial crime including money laundering, terrorist financing, fraud, sanctions violations and bribery and corruption. This includes controls, where relevant, to identify customers in line with UK Money Laundering Regulations and Joint Money Laundering Steering Group (JMLSG) Guidance standards, including implementing measures to recognise and execute appropriate Enhanced Due Diligence for high-risk customers such as Politically

Exposed Persons (PEPs), and where applicable, the 'ultimate beneficial owner' of a business will be identified. The Unit/Shareholder confirms that this includes internal monitoring of customer activity and transaction monitoring, including reporting suspicions to the UK's National Crime Agency as required, and all clients are screened regularly against the sanctions and terrorist listings from OFAC, EU, UN, FinCEN, OFSI, Sanctions Ownership Research List (the Company's internal commercial list), US Department of State, and HM Treasury designated lists. The Unit/Shareholder confirms that regular audits are undertaken to test business controls in place and confirm their effectiveness and adherence to financial crime policies and has a defined Financial Crime training and awareness programme.

7. understand that SAM UK, or an administrator We appoint on Our behalf, may require further information from You, or about You, to satisfy the requirements of the Money Laundering Regulations in force, or otherwise in order to open and continue to operate an account and You agree to provide such information on reasonable request and accept that failure to provide such information and/or documentation might result in delays in making payments or freezing of your account until the request has been completed; You also acknowledge that SAM UK will make use of authorised third parties for the purposes of electronic verification were relevant.
8. confirm that the information in the application is true, complete and accurate to the best of Your knowledge and belief. You agree to notify Us in writing within 30 days (unless You are notifying Us in relation to information contained in the FATCA/CRS self-certification form (Appendix 2), in which case You agree to notify Us immediately in line with 11b below) should any details contained herein cease to be true, complete and accurate in any respect. You also acknowledge that, to the extent permitted by applicable law and the FCA Rules, We will not be liable to You for any losses You suffer as a result of providing Us with incorrect information or failing to update the information We hold about You;
9. confirm that this application is not made in violation of any applicable law or regulation and that the subscriptions made to the account which is opened in accordance with the application are not being acquired and will not be held in violation of such applicable law or regulation;
10. You acknowledge that We will categorise You as a per se professional client and that You are responsible for keeping Us informed about any change that could affect Your categorisation as a per se professional client;
11. You accept that your personal data and all information provided by You may be recorded by SAM UK and You accept that SAM UK or an administrator appointed on behalf of SAM UK can have access to this personal information and data in accordance with Data Protection Legislation.
12. You acknowledge, and when required by applicable data protection regulation expressly consents, the disclosure of your personal data to other Santander Group companies, along with any relevant transactions-related information, that allows such companies to comply with (i) the Group's Financial Crime Compliance internal policies, (ii) its legal obligations relating to the anti-money laundering and counter terrorism financing regulations and (iii) its regulatory reporting to the supervisory authorities

13. FATCA/CRS Declaration

- a. acknowledge that the information contained in this application may be reported to the tax
- Santander Asset Management UK | Institutional New Designation Application Form

authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which You may be tax resident where those countries have entered into agreements to exchange financial account information; and

- b. You agree to notify Us immediately and provide an updated FATCA/CRS self-certification form (which you can obtain by contacting investorqueries@fnztaservices.com) where any change in circumstances occurs which causes any of the information contained in this application form to be incorrect;

14. acknowledge that We may at Our absolute discretion amend the terms of this application at any time. Any changes will be notified to You and will take effect no earlier than 10 business days after posting to Our website or otherwise notifying You. Any changes to a Fund(s) You have an Investment in will be notified to You appropriately; and

15. acknowledge that nothing in this application shall be deemed to constitute financial, investment or other professional advice.

16. SAM UK have the right to reject any application.

This application must be signed by two authorised signatories at the entity

Signature of authorised official:

Authorised signatory print name:

Authorised Signatory D.O.B

Capacity

Date

--	--	--	--	--	--	--	--	--	--

DDMMYYYY

Signature of authorised official:

Authorised signatory print name:

Authorised Signatory D.O.B

Capacity

Date

--	--	--	--	--	--	--	--	--	--

DDMMYYYY

Please note that further/additional authorised signatories can be submitted on a separate sheet found in Appendix 1.

Please make sure you have:

- ☐ Fully completed and signed the application.
- ☐ Provided a list of additional authorised signatories in Appendix 1.

Appendix 1 | List of Additional Authorised Signatories

Note: You may choose to provide Your own Authorised Signatory List in lieu of completing the below.

Authorised Signatory

Name (Print)

Date

Capacity

Authorised Signatory

Name (Print)

Date

Capacity

Authorised Signatory

Name (Print)

Date

Capacity